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FAX TRANSMISSION COVER SHEET

Date: *January 3, 2006*
To: Amendment
United States Patent & Trademark Office
Fax: (571) 273-8300
Phone:
From: Kevin W. Stinger
Re: Serial No. 10/810,040
Filing Date: March 26, 2005
Docket No: 15436.446.1

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Attached:

Transmittal of Information Disclosure Statement (2 pgs)
Information Disclosure Statement (2 pgs, with attached Exhibit A)
PTO-1449 Information Disclosure Citations Made by Application (3 pgs)
PTO-2038 Credit Card Form in the amount of \$180.00 (1 pg)
Certificate of Transmission by Facsimile (1 pg)

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 15436.446.1
Applicant(s): Donald A. Lee			
Application No. 10/810,040	Filing Date 03/26/2004	Examiner Reveridge, Rachel E.	Group Art Unit 1725
Invention: METHODS FOR MANUFACTURING OPTICAL MODULES USING LEAD FRAME CONNECTORS			
<div style="text-align: right;">RECEIVED CENTRAL FAX CENTER JAN 03 2006</div> <p>I hereby certify that this <u>Information Disclosure Statement</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u>) on <u>January 3, 2006</u> (Date)</p> <div style="text-align: center;"><p><u>Beth L. Haslam</u> (Typed or Printed Name of Person Signing Certificate)</p><p><u>Beth L. Haslam</u> (Signature)</p></div> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> <p>Attached: Transmittal of Information Disclosure Statement (2 pgs) Information Disclosure Statement (2 pgs, with attached Exhibit A) PTO-1449 Information Disclosure Citations Made by Application (3 pgs) PTO-2038 Credit Card Form in the amount of \$180.00 (1 pg)</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.446.1	
In Re Application Of: Donald A. Ice						
Application No. 10/810,040	Filing Date 03/26/2004	Examiner Beveridge, Rachel E	Customer No. 022913	Group Art Unit 1725	Confirmation No. 8454	
Title: METHODS FOR MANUFACTURING OPTICAL MODULES USING LEAD FRAME CONNECTOR						
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.07(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="padding-left: 40px;"><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						

P10A/HFV05

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.446.1	
In Re Application of: Donald A. Lee						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/810,040	03/26/2004	Beveridge, Rachel E	022913	1725	8454	
Title: METHODS FOR MANUFACTURING OPTICAL MODULES USING LEAD FRAME CONNECTOR						
<div style="text-align: center;">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</div> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.</p> <p style="margin-left: 40px;"><input type="checkbox"/> Charge the amount of _____</p> <p style="margin-left: 40px;"><input type="checkbox"/> Credit any overpayment.</p> <p style="margin-left: 40px;"><input type="checkbox"/> Charge any additional fee required.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between;"><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Transmission by Facsimile*</div><p style="font-size: x-small;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa</p><p style="margin-top: 20px;">(Date)</p><p style="margin-top: 20px; text-align: center;">Signature</p><p style="margin-top: 10px; text-align: center; font-size: x-small;">Typed or Printed Name of Person Signing Certificate</p></div><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Mailing by First Class Mail</div><p style="font-size: x-small;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</p><p style="margin-top: 20px;">(Date)</p><p style="margin-top: 20px; text-align: center;">Signature of Person Mailing Correspondence</p><p style="margin-top: 10px; text-align: center; font-size: x-small;">Typed or Printed Name of Person Mailing Certificate</p></div></div> <p style="font-size: x-small; margin-top: 10px;">*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"><div style="width: 45%;"><p style="font-size: 1.5em; margin: 0;">_____ Signature</p><p>KEVIN W. STINGER Attorney for Applicant Registration No. 48,959</p></div><div style="width: 45%; text-align: right;"><p style="font-size: 1.2em; margin: 0;">Dated: Jan 3, 2006</p></div></div>						

P10A/R1 V05

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Sheet 1 of 3

Form PTO-1449

Applicant: Donald A. Ice

JAN 03 2006

Att'y Docket No.: 15436.446.1

Serial No.: 10/810,040

Group: 1725

Filing Date: March 26, 2004

For: METHODS FOR MANUFACTURING OPTICAL MODULES USING
LEAD FRAME CONNECTORS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTRelated U.S. Patent Applications

<u>Inventor</u>	<u>Application Number</u>	<u>Filing Date</u>
Donald A. Ice	10/810,041	03/26/2004
Donald A. Ice	10/809,992	03/26/2004

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue/Pub Date</u>	<u>Name</u>
_____ 1	4,689,023	08/25/1987	Strong, III, et al.
_____ 2	4,471,158	09/11/1984	Roberts
_____ 3	6,086,413	07/11/2000	Karasik, et al.
_____ 4	6,488,534	12/03/2002	Soga, et al.
_____ 5	6,527,571	03/04/2003	Muta, et al.
_____ 6	6,652,294	11/25/2003	Zhang
_____ 7	6,668,897	02/10/2004	Korsunsky, et al.
_____ 8	6,764,336	07/20/2004	Ma, et al.
_____ 9	6,764,338	07/20/2004	Fang
_____ 10	6,796,852	09/28/2004	Okamoto
_____ 11	6,817,782	11/16/2004	Togami, et al.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 3

Applicant: Donald A. Ice

Serial No.: 10/810,040

Att'y Docket No.: 15436.446.1

Filing Date: March 26, 2004

Group: 1725

For: METHODS FOR MANUFACTURING OPTICAL MODULES USING
LEAD FRAME CONNECTORSForeign Patent Documents

Examiner Initial*	Document Number	Publication Date	Country or Patent Office
NONE			

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

NONE

Examiner:

Date Considered:

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Form PTO-1449

Sheet 3 of 3

Applicant: Donald A. Ice
Serial No.: 10/810,040
Filing Date: March 26, 2004
For: METHODS FOR MANUFACTURING OPTICAL MODULES USING
LEAD FRAME CONNECTORS

Att'y Docket No.: 15436.446.1

Group: 1725

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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JAN 03 2006

PATENT APPLICATION
Docket No: 15436.446.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Donald A. Icc

Serial No.:

10/810,040

Art Unit
1725

Confirmation No.:

8454

Filed:

March 26, 2004

For:

METHODS FOR MANUFACTURING
OPTICAL MODULES USING LEAD FRAME
CONNECTORS

Examiner:

Beveridge, Rachel E

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

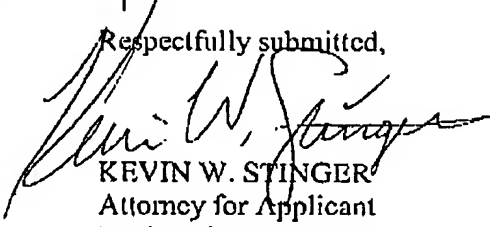
The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Also attached, as Exhibit "A" for the Examiner's convenience, is a copy of the most recent Office Action in one of the related pending applications listed in the PTO-1449.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 3rd day of January, 2006.

Respectfully submitted,


KEVIN W. STINGER
Attorney for Applicant
Registration No. 48,959
Customer No. 022913

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